

PATENT

Case 5400/2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

S. BERTENSHAW ET AL

SERIAL NO.: 08/425,022

FILED: April 19, 1995

GROUP ART UNIT: 120

EXAMINER: DENTZ

DATE: April 3, 1997

TITLE: SUBSTITUTED FURANS AND FURANONES  
FOR THE TREATMENT OF INFLAMMATION

DECLARATION UNDER 37 C.F.R. §1.132

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

I, Richard B. Silverman, Ph.D., declare that:

1. I received a Bachelor of Science Degree in Chemistry from Pennsylvania State University, in 1968; and received a Ph.D. in Chemistry from Harvard University in 1974;

2. Since 1976, I have been employed as a faculty member of the Chemistry Department at Northwestern University, Evanston, Illinois, and that currently I hold the position of Arthur Andersen Teaching and Research Professor of Chemistry and of Biochemistry, Molecular Biology and Cell Biology, with responsibility for scientists carrying out research in medicinal chemistry;

3. I am the principal author or co-author of approximately 150 publications and books, with several publications on organic chemistry synthesis methods, including the synthesis of dihydrofuranone compounds;

4. In my professional capacities, I closely and carefully follow the scientific literature regarding organic chemistry and specifically synthetic methods;

5. As a professor of chemistry at Northwestern University, with teaching responsibilities for undergraduate and graduate students, I am aware of what constitutes ordinary skill and knowledge in the art of heterocyclic chemistry. I have reviewed U.S. Patent Application Serial No. 08/004,822 (the "application"). Based on my review I understand the following facts as shown in Appendix "A":

a. the application fully describes the preparation of mixed 3,4-diaryl-2,5-furyl carboxylic methyl ester/acids **A** as the initial step in Generic Scheme 1, as illustrated on page 13 and described in the accompanying text;

b. one can readily prepare 3,4-diaryl-2-furyl carboxylic methyl ester **B** from the mixed 3,4-diaryl-2,5-furyl carboxylic methyl ester/acids **A** with the decarboxylation step described in the application;

c. one can readily prepare 3,4-diaryl-2-furfurals **C** from the 3,4-diaryl-2-furyl carboxylic methyl ester **B** by reducing the ester **B** with a borohydride to form a furyl-2-methanol, followed by Swern oxidation of the alcohol to form the aldehyde **C** [Omura and Swern, Tetrahedron, 34, 1651 (1978)];

d. alternatively, one can readily prepare 3,4-diaryl-2-furfurals **C** from the 3,4-diaryl-2-furans **D** (prepared as described in the application) via the Vilsmeier-Haack reaction [Ber., 60, 119 (1927)];

e. the 3,4-diaryl-2-furfurals **C** are oxidized via the Baeyer-Villiger method [see Chem. Abstr., 90, 54751x (1979)] to form the 3,4-diaryl-2-furyl formate esters **E**, and the 3,4-diaryl-2-hydroxyfuran anions **F** which are isolated as the carbonyl tautomers **G**;

6. Based on my analysis, I find that U.S. Patent Application No. 08/004,822, in light of the art existing at the time of filing this patent application, teaches how to prepare the 3,4-diphenyl-2-hydroxyfurans, and the tautomers thereof.

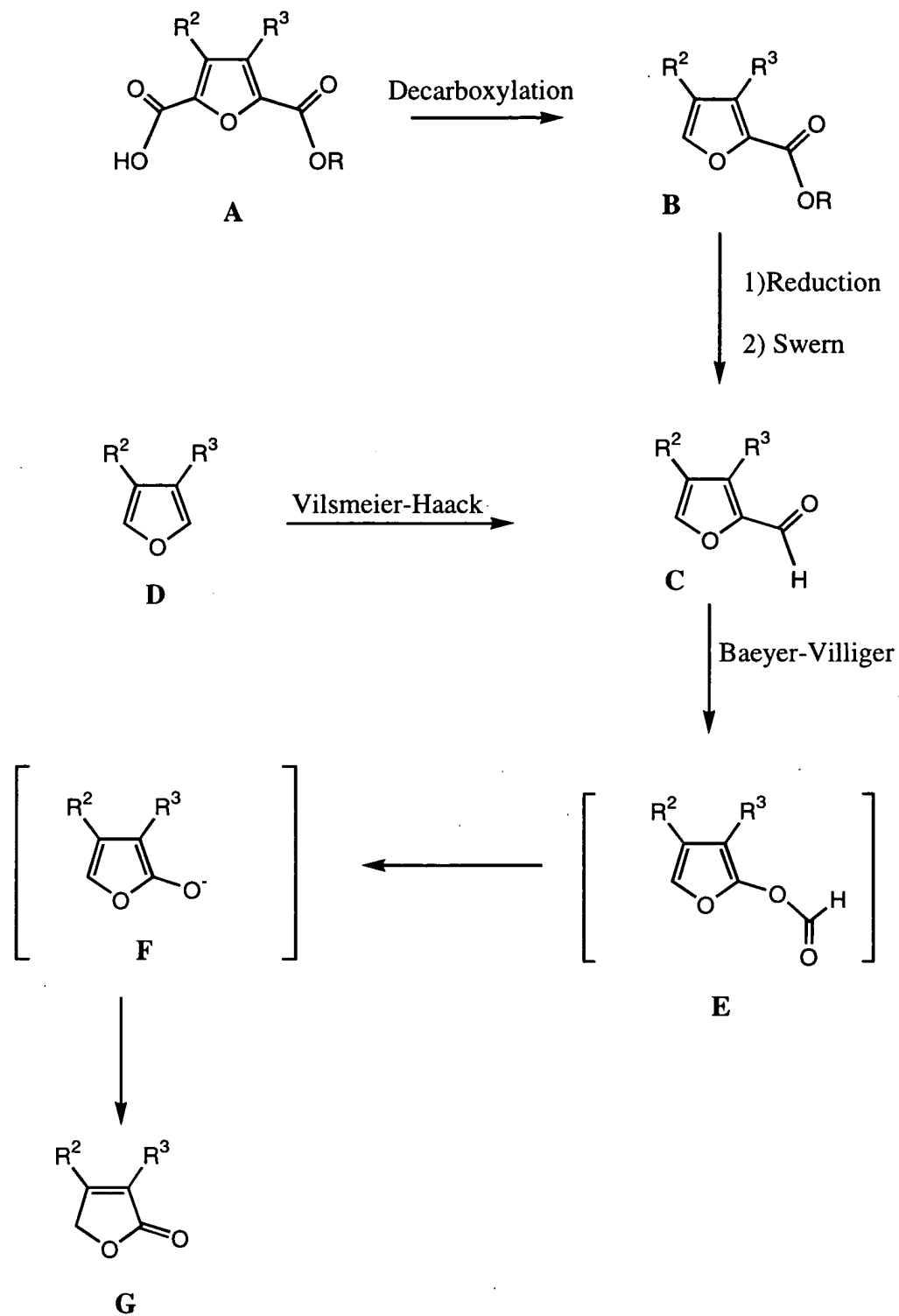
I further declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

Respectfully submitted

4/7/97  
Date

Richard B. Silverman  
Richard B. Silverman, Ph.D.

# APPENDIX A



ATTACHMENTS

Appendix

- A Declaration of Dr. Victor Snieckus describing a synthetic preparation of hydroxyfurans
- B Declaration of Dr. Richard Silverman describing a synthetic preparation of hydroxyfurans
- C Declaration of Dr. Peter Beak describing carbonyl/enol tautomerism
- D Declaration of Dr. Victor Snieckus describing carbonyl/enol tautomerism
- E Declaration of Dr. Richard Silverman describing carbonyl/enol tautomerism
- F Declaration of Dr. John Likos describing NMR analysis of hydroxyfuran tautomerism
- G Declaration of Dr. Gideon Fraenkel describing NMR analysis of hydroxyfuran tautomerism